



# Formulas Online


## [How to View Submission Statuses in Formulas Online \(Industry Members\)](#)

You may view the [status](#) of your submissions in Formulas Online through the [My Submissions home page](#). You may also view the [status](#) of your submissions by performing a [quick search](#) or [advanced search](#).

### My Submissions Home Page

The My Submissions home page is the default page displayed upon a successful login. You may also select the [Home](#) link in the main navigation banner to access the page at any time. The My Submissions home page displays a list of your submissions and their statuses. Figure 1 details the My Submissions home page.

**Figure 1: Home Page (My Submissions)**



ALCOHOL AND TOBACCO TAX AND TRADE BUREAU  
U.S. Department of the Treasury

Formulas Online

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Advanced Search

# My Submissions

Apply Sort

Clear Sort

Records 1 - 22 of 22 (Total Matching Records: 22) (Page 1 of 1)

☒ Surrender

SUBMISSION ID	TTB FORMULA ID	COMPANY FORMULA#	TYPE	PAPER	SUBMISSION STATUS	SUBMISSION DATE	SUBMITTER	PRODUCT
1301321		-	User Registration	N	Closed	05/10/2011	TTB	
1301368	1000650	FL-W-77777 - 11111	Uniform	Y	Needs Correction	08/23/2011	Jane Smith	POM
1301369	1000651	FL-W-77777 - 2222222	Uniform	Y	Approved	05/14/2011	Jane Smith	
1301391		-	User Registration	N	Closed	05/19/2011	TTB	
1301429		-	User Registration	N	Cancelled	05/26/2011	TTB	
1301448		-	User Registration	N	Closed	05/27/2011	TTB	
1301461		OH-W-999 - 1234	Uniform	N	Draft		-	
1301483		-	User Registration	N	Closed	06/02/2011	TTB	
1301485		-	User Registration	N	Closed	06/02/2011	TTB	
1301634		-	User Registration	N	Closed	06/22/2011	TTB	
1301644		-	User Registration	N	Closed	06/24/2011	TTB	
1301648		-	User Registration	N	Closed	06/28/2011	TTB	
1301650		-	User Registration	N	Cancelled	06/28/2011	TTB	
1301810		-	User Registration	N	Cancelled	08/06/2011	TTB	
1301852	1000700	OH-W-999 - 12345	Uniform	N	Needs Correction	08/20/2011	Jane Smith	POM WINE
1301873	1000958	OH-W-999 - 5678	Uniform	Y	Pending	10/05/2011	Jane Smith	POM WINE
1302004		-	Uniform	N	Draft		-	
1302006		-	Uniform	N	Draft		-	
1302156		-	User Registration	N	In Process	10/25/2011	TTB Gov'mnt	

The [statuses](#) display next to the submissions in the Submission Status column.

## How to View Submission Statuses in Formulas Online (Industry Members)

### Quick Search for Submissions

► **Note:** You may search for submissions in the Formulas Online system for which you have the privilege to access.

Follow these steps to perform a quick search for submission and view their statuses:

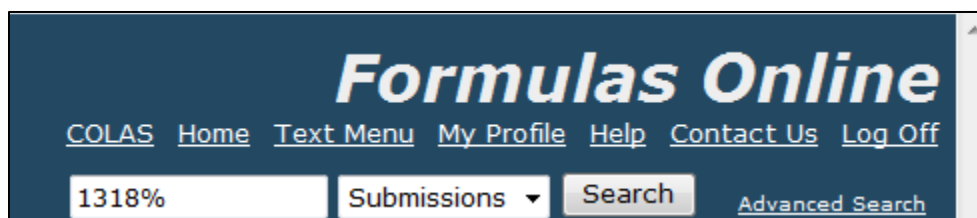
1. Enter the Submission ID in the search text field.

► **Note:** Enter a minimum of 4 numbers of the Submission ID followed by the “%” special character to perform a wildcard search.

2. Select **Submissions** from the search drop-down list. See Figure 2.

► **Note:** By default, **Submissions** will be selected.

**Figure 2: Search for Submissions – Enter Search Criteria**



3. Select the **Search** button. The search results display. See Figure 3.

**Figure 3: Search for Submissions – Search Results**

# Search Results

Apply Sort

Clear Sort

Records 1 - 4 of 4 (Total Matching Records: 4) (Page 1 of 1)

SUBMISSION ID	TTB FORMULA ID	COMPANY FORMULA#	TYPE	PAPER	SUBMISSION STATUS	SUBMISSION DATE	SUBMITTER	PRODUCT
1318863	1576274	OH-W-999 - 12345	Uniform	N	Approved	07/19/2011	Jane Smith	POM WINE
1318861		-	Rider	N	In Process	07/18/2011	Jane Smith	POM MANGO EXTRACT
1318860		POMPURE - 123456	Drawback	N	In Process	07/18/2011	Jane Smith	POM POMEGRANATE EXTRACT
1318857		POMPURE - POM PURE EXTRACTS	SDA	N	In Process	07/15/2011	Jane Smith	POM PETRO

We collect this information to verify your compliance with Federal laws and regulations that TTB administers. The information collected on this form must be considered confidential tax information under 26 U.S.C. 6103, and must not be disclosed to any unauthorized party under 26 U.S.C. 7213.

The [statuses](#) display next to the submissions in the Submission Status column.

## How to View Submission Statuses in Formulas Online (Industry Members)

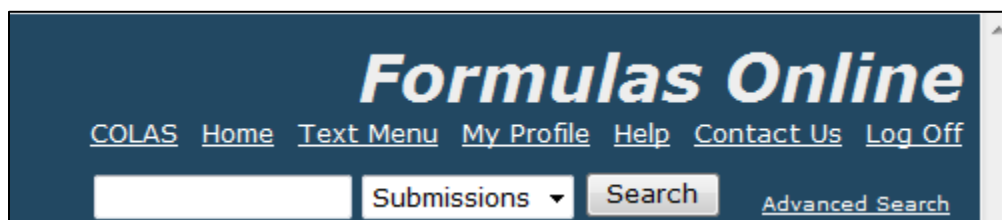
### Advanced Search for Submissions

► **Note:** You may search for submissions/formulas in the Formulas Online system for which you have the privilege to access.

Follow these steps to perform an advanced search for submissions and view their statuses:

1. Select the [Advanced Search](#) link next to the **Search** button. See Figure 4.

**Figure 4: Search for Submissions/Formulas – Advanced Search**



The Search Submissions and Formulas page displays. See Figure 5.

**Figure 5: Search for Submissions/Formulas – Search Submissions and Formulas**

The image shows the 'Search Submissions and Formulas' page. At the top, there's a red header bar with 'Submission/Formula' and 'Image Text' tabs, and a 'Search | Clear' button. Below this, the page is divided into two main columns of search criteria. The left column includes fields for 'Submission Name' (with a dropdown list), 'Submission ID', 'LIMS ID', 'First Name', 'Employer Name', 'Company ID', 'TTB Formula ID', 'Product/Brand Name', 'Commodity Type' (with a dropdown list), 'Status' (with a dropdown list, where 'Cancelled', 'Closed', and 'Draft' are circled in red), 'Source' (with a dropdown list), and 'Date Submitted' (with 'From' and 'To' date pickers). The right column includes fields for 'AutoAudit #', 'Last Name', 'Company Name', 'Company Formula #', 'Product/Class Type' (with a dropdown list), 'Disposition' (with a dropdown list), 'SDA Code' (with a dropdown list), and 'Date Completed' (with 'From' and 'To' date pickers). At the bottom, there are 'Clear' and 'Search' buttons.

2. Enter or select the search criteria in the available fields.

► **Note:** Press the **CTRL** key to select multiple values from the drop-down lists. Use the “%” special character to perform a wildcard search.

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► **Note:** Product/Class Type changes based on the Commodity type selected. Other available search criteria displays based on the Submission Name selected.

3. Select the **Search** button. The search results display listing the submissions matching the search criteria. See Figure 6.

**Figure 6: Search for Submissions/Formulas – Search Results**

# Search Results

Apply Sort

Clear Sort

Records 1 - 4 of 4 (Total Matching Records: 4) (Page 1 of 1)

SUBMISSION ID	TTB FORMULA ID	COMPANY FORMULA#	TYPE	PAPER	SUBMISSION STATUS	SUBMISSION DATE	SUBMITTER	PRODUCT
1318863	1576274	OH-W-999 - 12345	Uniform	N	Approved	07/19/2011	Jane Smith	POM WINE
1318861		-	Rider	N	In Process	07/18/2011	Jane Smith	POM MANGO EXTRACT
1318860		POMPURE - 123456	Drawback	N	In Process	07/18/2011	Jane Smith	POM POMEGRANATE EXTRACT
1318857		POMPURE - POM PURE EXTRACTS	SDA	N	In Process	07/15/2011	Jane Smith	POM PETRO

We collect this information to verify your compliance with Federal laws and regulations that TTB administers. The information collected on this form must be considered confidential tax information under 26 U.S.C. 6103, and must not be disclosed to any unauthorized party under 26 U.S.C. 7213.

The [statuses](#) display next to the submissions in the Submission Status column.

### Submission Statuses

The following available statuses display next to the submissions in the Submission Status column:

- **Uniform** –
  - **Approved** – This status indicates a final action regarding a particular submission. Submissions enter this status when both the submission and the associated materials, if any, meet all applicable requirements.
  - **Cancelled** – This status indicates that the submission and/or supporting materials were not returned to TTB within the specified period and the submission is “Cancelled” by the system or the submission was cancelled by the submitter.
  - **Closed** – This status indicates that the submission processing has been completed and a determination has been made.
  - **Draft** – This status indicates that the submission is in progress (is created, but has not yet been properly submitted).
  - **Expired** – This status indicates that the imported formula older than five years (if approved prior to 10/1/2012) or ten years (if approved on or after 10/1/2012) was expired by the system.
  - **Received** – This status indicates that the submission has been submitted to TTB but has not yet been assigned to a specialist.
  - **Assigned** – This status indicates that the submission has been assigned to a specialist for evaluation.
  - **Needs Correction** – This status indicates that the submission has been reviewed by TTB but cannot be approved as submitted. The submission is returned to the submitter with a list of corrections in the Return tab of the electronic submission that need to be made either to the submission or to the supporting materials (documents, samples, etc.). The submitter has a certain number of days to make the corrections. If the submission and/or supporting materials are not returned to TTB within the specified period, the submission status changes to “Cancelled.” If the submitter makes the corrections and resubmits the submission to TTB within the specified period, the status changes to “Received.” Submissions in the “Needs Correction” status may also be “Withdrawn” by the submitter.
  - **Rejected** – This status indicates a final action regarding a particular submission. Submissions enter this status when the submission and the associated materials, if any, have not met all applicable requirements or a submission was not required.
  - **Revoked** – This status indicates that an approved formula in “Closed” status was revoked by TTB.
  - **Surrendered** – This status indicates that an approved formula in “Closed” status was surrendered by the Submitter.
  - **Withdrawn** – This status indicates that a received submission has been withdrawn by the submitter or a specialist.
  - **Items Pending** – This status indicates that the physical samples (if any) have not been received by the laboratory and/or a Method of Manufacture (MOM) has not been attached to the submission.
  - **Assignment Pending** – This status indicates that the samples have been received by the laboratory and the submission is awaiting assignment within the laboratory.

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- **Lab Analysis** – This status indicates that laboratory analysis of the physical sample is in progress.
- **Pending Closed** – This status indicates that a determination by ALFD is being finalized.
- **QA Review** – This status indicates that the ALFD determination is in review.
- **SDA** –
  - **Approved** – This status indicates a final action regarding a particular submission. Submissions enter this status when both the submission and the associated materials, if any, meet all applicable requirements.
  - **Cancelled** – This status indicates that the submission and/or supporting materials were not returned to TTB within the specified period and the submission is “Cancelled” by the system or the submission was cancelled by the submitter.
  - **Closed** – This status indicates that the submission processing and follow-up have been completed.
  - **Draft** – This status indicates that the submission is in progress (is created, but has not yet been properly submitted).
  - **Disapproved** – This status indicates a final action regarding a particular submission. Submissions enter this status when the submission and the associated materials, if any, have not met all applicable requirements.
  - **In Process** – This status indicates that the submission has been submitted to TTB and is being evaluated.
  - **Needs Correction** – This status indicates that the submission has been reviewed by TTB but cannot be approved as submitted. The submission is returned to the submitter with a list of corrections in the Return tab of the electronic submission that need to be made either to the submission or to the supporting materials (documents, samples, etc.). If the submitter makes the corrections and resubmits the submission to TTB, the status changes to “In Process.” Submissions in the “Needs Correction” status may also be “Withdrawn” by the submitter.
  - **Withdrawn** – This status indicates that an in-process submission has been withdrawn by the submitter or a specialist.
- **Drawback** –
  - **Approved** – This status indicates a final action regarding a particular submission. Submissions enter this status when both the submission and the associated materials, if any, meet all applicable requirements.
  - **Approved for Export Only** – This status indicates a final action regarding a particular submission. Submissions enter this status when both the submission and the associated materials, if any, meet all applicable requirements for exports only.
  - **Cancelled** – This status indicates that the submission and/or supporting materials were not returned to TTB within the specified period and the submission is “Cancelled” by the system or the submission was cancelled by the submitter.
  - **Closed** – This status indicates that the submission processing and follow-up have been completed.
  - **Disapproved (Domestic)** – This status indicates a final action regarding a particular submission. A Submission enters this status when it has been determined that the product is fit for beverage purposes and therefore not eligible

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for drawback of tax, except when use by claimant in eligible nonbeverage products.

- **Draft** – This status indicates that the submission is in progress (is created, but has not yet been properly submitted).
- **Fit for Bev Purposes (Foreign)** – This status indicates a final action regarding a particular submission. A Submission enters this status when it has been determined that the product is fit for beverage purposes and must comply with alcoholic beverage regulations if imported into the U.S. If this product is used in alcoholic beverages produced outside the U.S., it is not eligible for flavor credit under 26 USC 5010.
- **In Process** – This status indicates that the submission has been submitted to TTB and is being evaluated.
- **Needs Correction** – This status indicates that the submission has been reviewed by TTB but cannot be approved as submitted. The submission is returned to the submitter with a list of corrections in the Return tab of the electronic submission that need to be made either to the submission or to the supporting materials (documents, samples, etc.). If the submitter makes the corrections and resubmits the submission to TTB, the status changes to “In Process.” Submissions in the “Needs Correction” status may also be “Withdrawn” by the submitter.
- **No Action** – This status indicates a final action regarding a particular submission. A Submission enters this status when it has been determined that there is no alcohol eligible for drawback of tax. Use is subject to compliance with U.S. Food and Drug Administration regulations.
- **Withdrawn** – This status indicates that an in-process submission has been withdrawn by the submitter or specialist.
- **Rider** –
  - **Approved** – This status indicates a final action regarding a particular submission. Submissions enter this status when both the submission and the associated materials, if any, meet all applicable requirements.
  - **Approved for Export Only** – This status indicates a final action regarding a particular submission. Submissions enter this status when both the submission and the associated materials, if any, meet all applicable requirements for exports only.
  - **Cancelled** – This status indicates that the submission and/or supporting materials were not returned to TTB within the specified period and the submission is “Cancelled” by the system or the submission was cancelled by the submitter.
  - **Closed** – This status indicates that the submission processing and follow-up have been completed.
  - **Disapproved (Domestic)** – This status indicates a final action regarding a particular submission. A Submission enters this status when it has been determined that the product is fit for beverage purposes and therefore not eligible for drawback of tax, except when use by claimant in eligible nonbeverage products.
  - **Draft** – This status indicates that the submission is in progress (is created, but has not yet been properly submitted).

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- **Fit for Bev Purposes (Foreign)** – This status indicates a final action regarding a particular submission. A Submission enters this status when it has been determined that the product is fit for beverage purposes and must comply with alcoholic beverage regulations if imported into the U.S. If this product is used in alcoholic beverages produced outside the U.S., it is not eligible for flavor credit under 26 USC 5010.
- **In Process** – This status indicates that the submission has been submitted to TTB and is being evaluated.
- **Needs Correction** – This status indicates that the submission has been reviewed by TTB but cannot be approved as submitted. The submission is returned to the submitter with a list of corrections in the Return tab of the electronic submission that need to be made either to the submission or to the supporting materials (documents, samples, etc.). If the submitter makes the corrections and resubmits the submission to TTB, the status changes to “In Process.” Submissions in the “Needs Correction” status may also be “Withdrawn” by the submitter.
- **No Action** – This status indicates a final action regarding a particular submission. A Submission enters this status when it has been determined that there is no alcohol eligible for drawback of tax. Use is subject to compliance with U.S. Food and Drug Administration regulations.
- **Withdrawn** – This status indicates that an in-process submission has been withdrawn by the submitter or specialist.
- **User Registration** –
  - **Cancelled** – This status indicates that the submission and/or supporting materials were not returned to TTB within the specified period and the submission is “Cancelled” by the system or the submission was cancelled by the submitter.
  - **Closed** – This status indicates that the submission processing and follow-up have been completed.
  - **Draft** – This status indicates that the submission is in progress (is created, but has not yet been properly submitted).
  - **In Process** – This status indicates that the submission has been submitted to TTB and is being evaluated.
  - **Needs Correction** – This status indicates that the submission has been reviewed by TTB but cannot be approved as submitted. The submission is returned to the submitter with a list of corrections in the Return tab of the electronic submission that need to be made either to the submission or to the supporting materials (documents, samples, etc.). The submitter has a certain number of days to make the corrections. If the submission and/or supporting materials are not returned to TTB within the specified period, the submission is returned then the status changes to “Cancelled.” If the submitter makes the corrections and resubmits the submission to TTB within the specified period, the status changes to “In Process.” Submissions in the “Needs Correction” status may also be “Withdrawn” by the submitter.
  - **Withdrawn** – This status indicates that an in-process submission has been withdrawn by the submitter or specialist.